



Dynamic programming and planarity: Improved tree-decomposition based algorithms[☆]

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ABSTRACT

We study some structural properties for tree-decompositions of 2-connected planar graphs that we use to improve upon the runtime of tree-decomposition based dynamic programming approaches for several NP-hard planar graph problems. E.g., we derive the fastest algorithm for PLANAR DOMINATING SET of runtime $3^{tw} \cdot n^{O(1)}$, when we take the width tw of a given tree-decomposition as the measure for the exponential worst case behavior. We also introduce a tree-decomposition based approach to solve non-local problems efficiently, such as PLANAR HAMILTONIAN CYCLE in runtime $6^{tw} \cdot n^{O(1)}$. From any input tree-decomposition of a 2-connected planar graph, one computes in time $O(nm)$ a tree-decomposition with geometric properties, which decomposes the plane into disks, and where the graph separators form Jordan curves in the plane.

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1. Introduction

Many separator results for topological graphs, especially for planar embedded graphs base on the fact that separators have a structure that cuts the surface into two or more pieces onto which the separated subgraphs are embedded on. The celebrated and widely applied (e.g., in many divide-and-conquer approaches) result of Lipton and Tarjan [24] finds in planar graphs a small sized separator. However, their result says nothing about the structure of the separator; it can be any set of discrete points. Applying the idea of Miller for finding small simple cyclic separators [25] in planar triangulations, one can find small separators whose vertices can be connected by a closed curve in the plane intersecting the graph only in vertices, so-called *Jordan curves* (e.g. see [4]). Tree-decompositions have been historically the choice when solving NP-hard optimization and FPT problems with a dynamic programming approach (see for example [6] for an overview). Although much is known about the combinatorial structure of tree-decompositions (amongst others, [7,32]), only few results are known to the author relating to the topology of tree-decompositions of planar graphs (e.g., [9] studied 3-connected planar graphs, i.e., graphs with a unique plane embedding). A branch-decomposition is another tool, that was introduced by Robertson and Seymour in their proof of the Graph Minors Theorem and the parameters of these similar structures, the *treewidth* $tw(G)$ and *branchwidth* $bw(G)$ of the graph G have the relation $bw(G) \leq tw(G) + 1 \leq 1.5 bw(G)$ [28]. Their proof gives a simple polynomial time algorithm for transforming branch-decompositions and tree-decompositions into one another. Recently, branch-decompositions have become a more popular tool than tree-decompositions, in particular for problems whose input is a topologically embedded graph [10,20,11,17,15,16], mainly for two reasons: the branchwidth of planar graphs can be computed in polynomial time (yet there is no algorithm known for treewidth) with better constants for

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Table 1

We state the runtime of dynamic programming given a tree-decomposition of width tw , and a branch-decomposition of width bw , respectively. The worst case runtime $O(n^{O(1)} \cdot f(tw, bw))$ with a function only depending on tw and bw . We state the improvements independently for weighted and unweighted graph problems. Due to the relation $bw(G) \leq tw(G) + 1 \leq 1.5bw(G)$ a polynomial time algorithm for planar branchwidth gives a 1.5-OPT-algorithm for planar treewidth. Hence, for some problems, such as the weighted PLANAR DOMINATING SET, the tree-decomposition based algorithm always gives the best function. However, for the (mostly unweighted) variants of non-local problems from [17] not mentioned here, the branch-decomposition based approach is better.

	Previous results	New results
weighted PLANAR DOM SET	$2^{\min\{2tw, 2.38bw\}}$	$2^{1.58tw}$
unweighted PLANAR DOM SET	$2^{1.89bw}$	$2^{\min\{1.58tw, 1.89bw\}}$
W PLAN INDEPENDENT DOM SET	$2^{\min\{2tw, 2.28bw\}}$	$2^{1.58tw}$
UW PLAN INDEPENDENT DOM SET	$2^{1.89bw}$	$2^{\min\{1.58tw, 1.89bw\}}$
W PLAN TOTAL DOM SET	$2^{\min\{2.58tw, 3bw\}}$	2^{2tw}
UW PLAN TOTAL DOM SET	$2^{2.38bw}$	$2^{\min\{2tw, 2.38bw\}}$
W PLAN PERF TOTAL DOM SET	$2^{\min\{2.58tw, 3.16bw\}}$	$2^{\min\{2.32tw, 3.16bw\}}$
UW PLAN PERF TOTAL DOM SET	$2^{2.53bw}$	$2^{\min\{2.32tw, 2.53bw\}}$
W PLANAR HAMILTONIAN CYCLE	$2^{3.31bw}$	$2^{\min\{2.58tw, 3.31bw\}}$
UW PLANAR HAMILTONIAN CYCLE	$2^{2.66bw}$	$2^{\min\{2.58tw, 2.66bw\}}$
W PLANAR GRAPH TSP	$2^{4.65bw}$	$2^{\min\{4.12tw, 4.65bw\}}$
W PLANAR CONNECTED DOM SET	$2^{4.63bw}$	$2^{\min\{4.09tw, 4.63bw\}}$

the upper bound than treewidth. Secondly, planar branch-decompositions have geometrical properties, i.e. they are assigned with separators that form Jordan curves. Thus, one can exploit planarity in the dynamic programming approach in order to get an exponential speedup, as done by [17,13].

We extend results of [9] for employing planarity obtained by the structure of tree-decompositions so that we get faster algorithms. This enables us to give the first tree-decomposition based algorithms for planar Hamiltonian-like problems with slight runtime improvements compared to [17]. We emphasize our result in terms of the width parameters tw and bw with the example of DOMINATING SET. The graph problem DOMINATING SET asks for a minimum vertex set S in a graph $G = (V, E)$ such that every vertex in V is either in S or has a neighbor in S . Telle and Proskurowski [31] gave a dynamic programming approach based on tree-decompositions with runtime $9^{tw} \cdot n^{O(1)}$, and that was improved to $4^{tw} \cdot n^{O(1)}$ by Alber et al. [1]. Note that in the extended abstract [2], the same authors first stated the runtime wrongly to be $3^{tw} \cdot n^{O(1)}$. Fomin and Thilikos [20] gave a branch-decomposition based approach of runtime $3^{1.5bw} \cdot n^{O(1)}$. In [13], the author combined dynamic programming with fast matrix multiplication to get $4^{bw} \cdot n^{O(1)}$ and for PLANAR DOMINATING SET even $3^{\frac{\omega}{2}bw} \cdot n^{O(1)}$, where ω is the constant in the exponent of fast matrix multiplication (currently, $\omega \leq 2.376$). Exploiting planarity, we improve further upon the existing bounds and give a $3^{tw} \cdot n^{O(1)}$ dynamic programming for PLANAR DOMINATING SET algorithms, representative for a number of improvements on results of [3,13,17,18] as shown in Table 1. This settles an open question in [3] to match the *base value* of the exponential running time function with the *colors* needed to encode the solutions in the dynamic programming. More detailed explanations with an example will be given in Section 6.

Given any tree-decomposition of a 2-connected planar graph as an input, we show how to compute a geometric tree-decomposition that has the same properties as planar branch-decompositions. (If the graph is 1-connected, we compute geometric tree-decompositions of the 2-connected components and combine them to a tree-decomposition.) Employing structural results on minimal graph separators for planar graphs, we create in polynomial time a *parallel* tree-decomposition that is assigned by a set of pairwise parallel separators that form pairwise non-crossing Jordan curves in the plane. In a second step, we show how to obtain a *geometric* tree-decomposition, that has a ternary tree and is assigned Jordan curves that exhaustively decompose the plane into disks (one disk being the infinite disk). In fact, geometric tree-decompositions have all the properties in common with planar branch-decompositions, that are algorithmically exploited in [20,17]. The idea of arguing with Jordan curves for relating minimal separators of planar graphs and maximal cliques of triangulations is already used in the proof of self-duality for planar treewidth by Bouchitté et al. [9]. In fact, their proof *can* be made constructive for computing a geometric tree-decomposition of 3-connected planar graphs in polynomial time when given a minimal triangulation. Our contribution is (a) to show how to algorithmically find this structure of Jordan curves in a given ordinary tree-decomposition for *all* planar graphs and (b) to design faster tree-decomposition based dynamic programming approaches for a variety of planar graph optimization problems. Though, the dynamic programming is similar to that of [18] on semi-nice tree-decompositions in its combinatorial structure, we employ here for the first time topological arguments for dynamic programming on tree-decompositions.

Organization of the paper: after giving some preliminary results and stating the main theorem in Section 2, we introduce in Section 3 an algorithm to compute a parallel tree-decomposition. In Section 4, we describe how Jordan curves and separators in plane graphs influence each other and we get some tools for relating Jordan curves and tree-decompositions of 2-connected planar graphs in Section 5. Finally, we show how to compute geometric tree-decompositions and state in Section 6 their influence on dynamic programming approaches. In Section 7, we argue how our results may lead to faster algorithms when using fast matrix multiplication as in [13].

2. Preliminaries

A *line* is a subset of a surface Σ that is homeomorphic to $[0, 1]$. A closed curve on Σ that is homeomorphic to a cycle is called *Jordan curve*. A planar graph embedded crossing-free onto the sphere \mathbb{S}_0 is defined as a *plane graph*, where every vertex is a point of \mathbb{S}_0 and each edge a line. For two Jordan curves J, J' , we define $J \Delta J'$ to be the symmetric difference of J and J' , and $J \Delta J'$ its closure. In this paper, we consider Jordan curves that intersect with a plane graph only in vertices. For a Jordan curve J , we denote by $V(J)$ the vertices J intersects with.

Given a connected graph $G = (V, E)$, a set of vertices $S \subset V$ is called a *separator* if the subgraph induced by $V \setminus S$ is non-empty and has several components. S is called an *u, v -separator* for two vertices u and v that are in different components of $G[V \setminus S]$. S is a *minimal u, v -separator* if no proper subset of S is a u, v -separator. Finally, S is a *minimal separator* of G if there are two vertices u, v such that S is a minimal u, v -separator. For a vertex subset $A \subseteq V$, we *saturate* A by adding edges between every two non-adjacent vertices, and thus, turning A into a clique.

A *chord* in a cycle C of a graph G is an edge joining two non-consecutive vertices of C . A graph H is called *chordal* if every cycle of length > 3 has a chord. A *triangulation* of a graph $G = (V, E)$ is a chordal graph $H = (V, E')$ with $E \subseteq E'$. The edges of $E' \setminus E$ are called *fill edges*. We say, H is a *minimal triangulation* of G if every graph $G' = (V, E'')$ with $E \subseteq E'' \subset E'$ is not chordal. Note that a triangulation of a planar graph may not be planar—not to confuse with the notion of “planar triangulation” that asks for filling the facial cycles with chords. Consider the following algorithm on a graph G that triangulates G , known as the *elimination game* [27]. Repeatedly choose a vertex, saturate its neighborhood, and delete it. Terminate when $V = \emptyset$. The order in which the vertices are deleted is called the *elimination ordering* α , and G_α^+ is the chordal graph obtained by adding all saturating (fill) edges to G . Another way of triangulating a graph G can be obtained by using a tree-decomposition of G .

2.1. Tree-decompositions

Let G be a graph, T a tree, and let $\mathcal{Z} = (Z_t)_{t \in V(T)}$ be a family of vertex sets $Z_t \subseteq V(G)$, called *bags*, indexed by the nodes of T . The pair $\mathcal{T} = (T, \mathcal{Z})$ is called a *tree-decomposition* of G if it satisfies the following three conditions:

- $V(G) = \bigcup_{t \in V(T)} Z_t$,
- for every edge $e \in E(G)$ there exists a node $t \in V(T)$ such that both ends of e are in Z_t ,
- $Z_{t_1} \cap Z_{t_3} \subseteq Z_{t_2}$ whenever t_2 is a node of the path in T connecting nodes t_1 and t_3 .

The width $\text{tw}(\mathcal{T})$ of the tree-decomposition $\mathcal{T} = (T, \mathcal{Z})$ is the maximum size over all bags minus one. The *treewidth* of G is the minimum width over all tree-decompositions of G .

For planar graphs, we define a *geometric tree-decomposition* $\mathcal{T}' = (T, \mathcal{Z})$, $\mathcal{Z} = (Z_t)_{t \in V(T)}$ where

- T is a ternary tree and
- for every two adjacent edges (Z_r, Z_s) and (Z_r, Z_t) in T , $S_1 = Z_r \cap Z_s$ and $S_2 = Z_r \cap Z_t$ are minimal separators;
- S_1, S_2 form two Jordan curves J_1, J_2 such that $J_1 \Delta J_2$ forms a third Jordan curve J_3 .

We state now the main theorem of this work:

Theorem 1. For a given tree-decomposition \mathcal{T} of a planar graph G , one can obtain a geometric tree-decomposition \mathcal{T}' of G with $\text{tw}(\mathcal{T}') \leq \text{tw}(\mathcal{T})$ in time $O(nm)$.

2.2. Minimal separators and triangulations

Lemma 2 ([8]). Let $\mathcal{T} = (T, \mathcal{Z})$, $\mathcal{Z} = (Z_t)_{t \in V(T)}$ be a tree-decomposition of $G = (V, E)$, and let $K \subseteq V$ be a clique in G . Then there exists a node $t \in T$ with $K \subseteq Z_t$.

As a consequence, we can turn a graph G into another graph H' by saturating the bags of a tree-decomposition, i.e., add an edge in G between any two non-adjacent vertices that appear in a common bag. Automatically, we get that for every clique K in H' , there exists a bag Z_t such that $K = Z_t$. Note that the width of the tree-decomposition is not changed by this operation. It is known (e.g. in [32]) that H' is a triangulation of G , actually a so-called *k-tree*. Although there exist triangulations that cannot be computed from G with the elimination game, van Leeuwen [32] describes how to change a tree-decomposition in order to obtain the elimination ordering α and thus $G_\alpha^+ = H'$. For finding a minimal triangulation H that is a super-graph of G and a subgraph of G_α^+ , known as the *sandwich problem*, there are efficient $O(nm)$ runtime algorithms (For a nice survey, we refer to [22]).

We want to use triangulations for computing tree-decompositions with “nice” separating properties. By Rose et al. [29], we have also the following lemma:

Lemma 3 ([29]). Let H be a minimal triangulation of G . Any minimal separator of H is a minimal separator of G .

Before we give our new tree-decomposition algorithm, we are interested in an additional property of minimal separators. Let \mathcal{S}_G be the set of all minimal separators in G . Let $S_1, S_2 \in \mathcal{S}_G$. We say that S_1 *crosses* S_2 , denoted by $S_1 \# S_2$, if there are two connected components $C, D \in G \setminus S_2$, such that S_1 intersects both C and D . Note that $S_1 \# S_2$ implies $S_2 \# S_1$. If S_1 does not cross S_2 , we say that S_1 is *parallel* to S_2 , denoted by $S_1 \parallel S_2$. Note that “ \parallel ” is an equivalence relation on a set of pairwise parallel separators.

Algorithm TransfTD

Input: Graph G with tree-decomposition $\mathcal{T} = (T, \mathcal{Z})$, $\mathcal{Z} = (Z_t)_{t \in V(T)}$.

Output: Parallel tree-decomposition \mathcal{T}' of G with $\text{tw}(\mathcal{T}') \leq \text{tw}(\mathcal{T})$.

Triangulation step:

Saturate every bag $Z_t, t \in T$ to

obtain the chordal graph H' , $E(H') = E(G) \cup F$ with fill edges F .

Minimal triangulation step:

Compute a minimal triangulation H of G , $E(H) = E(G) \cup F'$, $F' \subseteq F$.

Clique tree step:

Compute clique tree of H , being simultaneously a tree-decomposition \mathcal{T}' of G .

Fig. 1. Algorithm TransfTD.

Theorem 4 ([26]). *Let H be a minimal triangulation of G . Then, \mathcal{S}_H is a maximal set of pairwise parallel minimal separators in G .*

3. Algorithm for a new tree-decomposition

Before we give the whole algorithm, we need some more definitions. For a graph G , let \mathcal{K} be the set of *maximal cliques*, that is, the cliques that have no superset in $V(G)$ that forms a clique in G . Let \mathcal{K}_v be the set of all maximal cliques of G that contain the vertex $v \in V(G)$. For a chordal graph H we define a *clique tree* as a tree $T = (\mathcal{K}, \mathcal{E})$ whose vertex set is the set of maximal cliques in H , and $T[\mathcal{K}_v]$ forms a connected subtree for each vertex $v \in V(H)$. Vice versa, if a graph H has a clique tree, then H is chordal (see [21]). Even though finding all maximal cliques of a graph is NP-hard in general, there exists a linear time modified algorithm of [30], that exploits the property of chordal graphs having at most $|V(H)|$ maximal cliques. By definition, a clique tree of H is also a tree-decomposition of H (where the opposite is not necessarily true).

Due to [5], a clique tree of a chordal graph H is the maximum weight spanning tree of the intersection graph of maximal cliques of H (with edge weights the cardinality of the intersection of two mutually intersecting cliques), and we obtain a linear time algorithm computing the clique tree of a graph H . It follows immediately from Lemma 2 that the treewidth of any chordal graph H equals the size of the largest clique minus one. Let us define an edge (C_i, C_j) in a clique tree T to be equivalent to the set of vertices $C_i \cap C_j$ of the two cliques C_i, C_j in H which correspond to the endpoints of the edge in T . For us, the most interesting property of clique trees is as follows:

Theorem 5 ([23]). *Given a chordal graph H and a clique tree T of H , a set of vertices S is a minimal separator of H if and only if $S = C_i \cap C_j$ for an edge (C_i, C_j) in T .*

We get the following corollary combining Theorems 4 and 5:

Corollary 6. *Given a clique tree $T = (\mathcal{K}, \mathcal{E})$ of a minimal triangulation H of a graph G . Then, T is a tree-decomposition \mathcal{T} of G , where $\text{tw}(\mathcal{T}) = \text{tw}(H)$, and the set of all edges (C_i, C_j) in T forms a maximal set of pairwise parallel minimal separators in G .*

We call a tree-decomposition of G obtained in Corollary 6 a *parallel tree-decomposition*. We give the algorithm in Fig. 1.

The worst case analysis for the runtime of TransfTD comes from the Minimal triangulation step, that needs time $O(nm)$ for an input graph G , ($|V(G)| = n$, $|E(G)| = m$).

4. Plane graphs and minimal separators

In the remainder of the paper, we consider 2-connected plane graphs G . For the case of 3-connected planar graphs, the results of this section can be found directly or indirectly in [9]. We omit some of the proofs of the following lemmata for 2-connected plane graphs, when they can be extended from those results. However, for 2-connectivity, we have to deal with more technical details.

Let $V(J) \subseteq V(G)$ be the set of vertices which are intersected by Jordan curve J . We say that a Jordan curve J is *minimal*, if (a) it intersects every face at most once and (b) no proper subset V_A of $V(J)$ with $|V_A| > 2$ forms a Jordan curve. Property (a) comes from the fact that we only consider Jordan curves that correspond to cycles in the so-called intermediate graph of G (see, e.g. [9]). We need property (b) since in 2-connected planar graphs minimal separators are not inclusion-wise minimal. We might have that a minimal separator S_1 is subset of another minimal separator S_2 if $|S_1| \leq 2$. The Jordan curve theorem (e.g. see [12]) states that a Jordan curve J on a sphere \mathbb{S}_0 divides the rest of \mathbb{S}_0 into two connected parts, namely into two open disks Δ_J and $\Delta_{\bar{J}}$, i.e., $\Delta_J \cup \Delta_{\bar{J}} \cup J = \mathbb{S}_0$. Hence, every Jordan curve J is a separator of a plane graph G if both $\Delta_J \cap G$ and $\Delta_{\bar{J}} \cap G$ are non-empty. Two Jordan curves J, J' then divide \mathbb{S}_0 into several regions. We define $V_{JJ'}^+$ as the (possibly empty) subset of vertices of $V(J \cap J')$ that are incident to more than two regions. Recall that for two Jordan curves J, J' , we define $J \Delta J'$ to be the symmetric difference of J and J' , and $\overline{J \Delta J'}$ its closure. Then, we get $V(\overline{J \Delta J'}) = V(J \cup J') \setminus V(J \cap J') \cup V_{JJ'}^+$. Bouchitté et al. [9] apply results of [19] to show the following:

Lemma 7 ([9]). Every minimal separator S of a 2-connected plane graph G forms the vertices of a Jordan curve.

That is, in any crossing-free embedding of G in \mathbb{S}_0 , one can find a Jordan curve only intersecting with G in the vertices of S . Note that a minimal separator S is not necessarily forming a unique Jordan curve. If an induced subgraph G' of G (possibly a single edge) has only two vertices u, v in common with S , and u, v are successive vertices of the Jordan curve J , then G' can be drawn on either side of J . This is the only freedom we have to form a Jordan curve in G , since on both sides of J , there is a connected subgraph of G that is adjacent to all vertices of J . We call two Jordan curves J, J' *equivalent* if they share the same vertex set and intersect the vertices in the same order. Two Jordan curves J, J' *cross* if J and J' are not equivalent and there are vertices $v, w \in V(J')$ such that $v \in V(G) \cap \Delta_J$ and $w \in V(G) \cap \Delta_{\bar{J}}$. Note that non-crossing Jordan curves may cross ‘topologically’ since they might have an edge in common.

Lemma 8. Let S_1, S_2 be two minimal separators of a 2-connected plane graph G and each S_i forms a Jordan curve J_i , $i = 1, 2$. If $S_1 \parallel S_2$, then J_1, J_2 are non-crossing. Vice versa, if two minimal Jordan curves J_1, J_2 in G are non-crossing and $\Delta_{J_i} \cap V(G)$ and $\Delta_{\bar{J}_i} \cap V(G)$, ($i = 1, 2$) all are non-empty, then the vertex sets $S_i = V(J_i)$, ($i = 1, 2$) are parallel minimal separators.

Proof. ‘ \rightarrow ’ Proof by contradiction:

Assume J_1 and J_2 cross. Then, wlog, $\Delta_{J_1} \cap V(G)$ contains some vertices $V_A \subseteq V(J_2)$ (and hence vertices of S_2) and $\Delta_{\bar{J}_1} \cap V(G)$ contains a non-empty vertex set $V_B \subseteq V(J_2)$. Hence, there exist two components C, D of $G \setminus J_1$ with $V(C) \cap V_A \neq \emptyset$ and $V(D) \cap V_B \neq \emptyset$. Thus, we have that S_1 and S_2 cross.

‘ \leftarrow ’

Since J_1, J_2 separate G , we have that S_1, S_2 are separators. Assume for contradiction that S_i is not minimal for $i = 1$ or $i = 2$. Thus, there exists a subset S_i^s of S_i that is a minimal separator and by Lemma 7, S_i^s forms a Jordan curve which is a contradiction to the minimality of J_i .

Again assume for contradiction that S_1 and S_2 cross. Then wlog, there exist components C and D in $G \setminus S_1$ such that $S_2 \cap V(C) \neq \emptyset$ and $S_2 \cap V(D) \neq \emptyset$. For $|V(C) \cap J_1| > 2$ and $|V(D) \cap J_1| > 2$, in the plane embedding, C and D must lie on different sides of J_1 , due to minimality of separator S_1 . Hence, $C \subseteq G \cap \Delta_{J_1}$ and $D \subseteq G \cap \Delta_{\bar{J}_1}$ and J_2 has vertices in Δ_{J_1} and $\Delta_{\bar{J}_1}$ and thus, J_1 and J_2 are crossing. (If $|V(C) \cap J_1| = 2$ and $|V(D) \cap J_1| = 2$ we may assume the C and D are embedded on different sides of J_1 .) \square

We say that two non-crossing Jordan curves J_1, J_2 *touch* if they intersect in a non-empty vertex set. Note that there may exist two edges $e, f \in E(G) \cap \Delta_{J_1}$ such that $e \in E(G) \cap \Delta_{J_2}$ and $f \in E(G) \cap \Delta_{\bar{J}_2}$.

Lemma 9. Let two non-crossing minimal Jordan curves J_1, J_2 be formed by two minimal parallel separators S_1, S_2 of a 2-connected plane graph G . If J_1 and J_2 touch, and there exists a minimal Jordan curve $J_3 \subseteq \bar{J}_1 \Delta J_2$ such that there are vertices of G on both sides of J_3 , then the vertices of J_3 form another minimal separator S_3 that is parallel to S_1 and S_2 .

If $\bar{J}_1 \Delta J_2$ forms exactly one Jordan curve J_3 then we say that J_1 touches J_2 *nicely*. Note that if J_1 and J_2 only touch in one vertex, the vertices of $J_1 \Delta J_2$ may not form any Jordan curve. The following lemma gives a property of “nicely touching” that we need later on.

Lemma 10. If in a 2-connected plane graph G , two non-crossing Jordan curves J_1 and J_2 touch nicely, then $|V_{J_1 J_2}^+| = |V(J_1) \cap V(J_2) \cap V(J_1 \Delta J_2)| \leq 2$.

5. Jordan curves and planar tree-decompositions

We now want to turn a parallel tree-decomposition \mathcal{T} into a geometric tree-decomposition $\mathcal{T}' = (T, \mathcal{Z})$, $\mathcal{Z} = (Z_t)_{t \in V(T)}$. Unfortunately, we cannot transform \mathcal{T} into \mathcal{T}' by arbitrarily connecting Jordan curves that we obtain from the parallel tree-decomposition \mathcal{T} . Even if two Jordan curves J_1, J_2 touch nicely, and the closed symmetric difference form another Jordan curve J_3 , we might get that $|V(J_3)| > \text{tw}(\mathcal{T})$ and thus $\text{tw}(\mathcal{T}') > \text{tw}(\mathcal{T})$. Thus, we will now show how to obtain a geometric tree-decomposition from a parallel tree-decomposition of same width.

In [9], the authors find from parallel tree-decompositions of 3-connected planar graphs a maximal set of pairwise (topologically) non-crossing Jordan curves. However, for applying dynamic programming, we need to be able to find geometric tree-decompositions for all planar graphs. In this section, we give some technical lemmata for the 2-connected case on how to complete each bag to a set of Jordan curves that we use to construct a geometric tree-decomposition of same width.

For a vertex set $Z \subseteq V(G)$, we define the subset $\partial Z \subseteq Z$ to be the vertices adjacent in G to some vertices in $V(G) \setminus Z$. Let G be planar embedded, Z connected, and ∂Z form a Jordan curve. We define $\bar{\Delta}_Z$ to be the closed disk, onto which Z is embedded and Δ_Z the open disk with the embedding of Z without the vertices of ∂Z . For a non-leaf tree node X with degree d in a parallel tree-decomposition \mathcal{T} , let Y_1, \dots, Y_d be its neighbors. Let T_{Y_i} be the subtree including Y_i when removing the edge (Y_i, X) from T . We define $G_{Y_i} \subseteq G$ to be the subgraph induced by the vertices of all bags in T_{Y_i} . For Y_i , choose the Jordan curve J_i formed by the vertex set $\partial G_{Y_i} = Y_i \cap X$ to be the Jordan curve that has all vertices of G_{Y_i} on one side and $V(G) \setminus V(G_{Y_i})$ on the other.

Since G is planarly embedded, we have the freedom to choose for each edge e with both endpoints being consecutive vertices of J_i if $e \in E(G_{Y_i})$ or if $e \in E(G) \setminus E(G_{Y_i})$. I.e., given a plane graph and a parallel tree-decomposition, we determine *unique* Jordan curves, each forming a minimal separator. Thus, in the following, we reduce the Jordan curves to its topological properties and thus change the notion of non-crossing. Here, two Jordan curves J_1, J_2 are *non-crossing* if J_1 does not intersect with both Δ_{J_2} and $\Delta_{J_2}^-$.

We say that a set \mathcal{J} of non-crossing Jordan curves is *connected* if for every partition of \mathcal{J} into two subsets $\mathcal{J}_1, \mathcal{J}_2$, there is at least one Jordan curve of \mathcal{J}_1 that touches a Jordan curve of \mathcal{J}_2 . A set \mathcal{J} of Jordan curves is *k-connected* if for every partition of \mathcal{J} into two connected sets $\mathcal{J}_1, \mathcal{J}_2$, the Jordan curves of \mathcal{J}_1 touch the Jordan curves of \mathcal{J}_2 in at least k vertices. Note that if two Jordan curves touch nicely then they intersect in at least two vertices.

Lemma 11. *For every inner node X of a parallel tree-decomposition \mathcal{T} of a 2-connected plane graph, the collection \mathcal{J}_X of pairwise non-crossing minimal Jordan curves formed by ∂X is 2-connected.*

Proof. We first show that \mathcal{J}_X is connected. Assume that \mathcal{J}_X is not connected, that is, there is a partition of \mathcal{J}_X into $\mathcal{J}_1, \mathcal{J}_2$ such that \mathcal{J}_1 is connected but no Jordan curve of \mathcal{J}_1 touches any Jordan curve of \mathcal{J}_2 . We have two cases: first assume that no vertex of the Jordan curves of \mathcal{J}_1 is adjacent to any vertex in a Jordan curve of \mathcal{J}_2 . Each vertex of the Jordan curves of \mathcal{J}_1 is adjacent to some vertices in $X_0 := X \setminus \bigcup_{k=1}^d Y_k$, for the neighbors Y_1, \dots, Y_d of X . Hence, there is a Jordan curve J_0 formed exclusively by vertices in X_0 such that \mathcal{J}_1 is on one side of J_0 and \mathcal{J}_2 on the other. Choose J_0 minimal, i.e., no subset of $V(J_0)$ forms a Jordan curve. Suppose, there is a pair of vertices u, v where u is a vertex of some G_{Y_i} bounded by the Jordan curve $J_i \in \mathcal{J}_1$ and v is a vertex of some G_{Y_j} bounded by the Jordan curve $J_j \in \mathcal{J}_2$. By Lemma 8, J_0 is non-crossing J_i and J_j . Thus, $V(J_0) \subseteq X_0$ is a minimal u, v -separator that is parallel to the maximal \mathcal{S}_G set of pairwise parallel minimal separators in G . That is contradicting the maximality of \mathcal{S}_G . For the second case assume there are some edges $E_j \subseteq E(X)$ between Jordan curves in \mathcal{J}_1 and Jordan curves in \mathcal{J}_2 . Then there is a closed curve C_j separating \mathcal{J}_1 from \mathcal{J}_2 touching some (or none) vertices of X_0 and crossing the edges of E_j . Turn C_j into a Jordan curve $J_{1,2}$: for each crossed edge e , move the curve to one endpoint of e , alternately to a vertex of \mathcal{J}_1 and a vertex of \mathcal{J}_2 . Then, $J_{1,2}$ is neither an element of \mathcal{J}_1 nor of \mathcal{J}_2 , and with Lemma 8 and the same arguments as above, $V(J_{1,2})$ is a minimal separator parallel to \mathcal{S}_G what again is a contradiction to the maximality of \mathcal{S}_G .

Now we prove that \mathcal{J}_X is 2-connected. First note that G itself is 2-connected. Thus, if \mathcal{J}_X is only 1-connected, there must be a path (or edge) in X_0 from some partition \mathcal{J}_1 to \mathcal{J}_2 , if \mathcal{J}_1 and \mathcal{J}_2 intersect only in one vertex. The proof is very similar to the first case, so we only sketch it. The only difference is that we now assume that there is one vertex w in the intersection of the Jordan curves of \mathcal{J}_1 with those of \mathcal{J}_2 . As in both previous cases, we find a minimal separator S . In the first case, $S \subseteq X_0 \cup \{w\}$ and in the second $S \subseteq X_0 \cup \{w\} \cup V(E_j)$ for the edges E_j with one endpoint in \mathcal{J}_1 and the other in \mathcal{J}_2 . Again, we obtain a contradiction since S is parallel to \mathcal{S}_G . \square

Lemma 12. *Every bag X in a parallel tree-decomposition \mathcal{T} can be decomposed into X_1, \dots, X_ℓ such that each vertex set ∂X_i forms a minimal Jordan curve in G and $\bigcup_{i=1}^\ell \partial X_i = \partial X$.*

Proof. Let Y_1, \dots, Y_d be the neighbors of X . By Lemma 11, ∂X forms a 2-connected set \mathcal{J}_X of minimal Jordan curves, each bounding a disk inside which one of the subgraphs G_{Y_i} is embedded onto. If we remove the disks Δ_{Y_j} for all $1 \leq j \leq d$ and \mathcal{J}_X from the sphere, we obtain a collection \mathcal{D}_X of ℓ disjoint open disks each bounded by a Jordan curve of \mathcal{J}_X . Note that $\ell \leq \max\{d, |X|\}$. Let Z_i be the subgraph in $X \cap \Delta_i$ for such an open disk $\Delta_i \in \mathcal{D}_X$ for $1 \leq i \leq \ell$. Then each Z_i is either empty or consisting only of edges or subgraphs of G and the closed disk $\bar{\Delta}_i$ is bounded by a Jordan curve J_i formed by a subset of ∂X . We set $X_i = Z_i \cup V(J_i)$ with ∂X_i the vertices of J_i . \square

Lemma 13. *In a decomposition of the sphere \mathbb{S}_0 by a 2-connected collection \mathcal{J} of non-crossing Jordan curves, one can repeatedly find two Jordan curves $J_1, J_2 \in \mathcal{J}$ that touch nicely, and substitute J_1 and J_2 by $\bar{J}_1 \Delta J_2$ in \mathcal{J} .*

Proof. Removing \mathcal{J} from \mathbb{S}_0 decomposes \mathbb{S}_0 into a collection \mathcal{D} of open disks each bounded by a Jordan curve in \mathcal{J} . For each $\Delta_1 \in \mathcal{D}$ bounded by $J_1 \in \mathcal{J}$ there is a “neighboring” disk $\Delta_2 \in \mathcal{D}$ bounded by $J_2 \in \mathcal{J}$ such that the intersection $J_1 \cap J_2$ forms a line of \mathbb{S}_0 . Then, $\bar{J}_1 \Delta J_2$ bounds $\Delta_1 \cup \Delta_2$. Replace, J_1, J_2 by J_3 in \mathcal{J} and continue until $|\mathcal{J}| = 1$, that is, we are left with one Jordan curve separating \mathbb{S}_0 into two open disks. \square

We get that X_1, \dots, X_ℓ and G_{Y_1}, \dots, G_{Y_d} are embedded inside of closed disks each bounded by a Jordan curve. Thus, the union \mathcal{D} over all these disks together with the Jordan curves \mathcal{J}_X fill the entire sphere \mathbb{S}_0 onto which G is embedded. Each subgraph embedded onto $\Delta \cup J$ for a disk $\Delta \in \mathcal{D}$ and a Jordan curve J bounding Δ , forms either a bag X_i or a subgraph G_{Y_j} . Define the collection of bags $\mathcal{Z}^X = \{X_1, \dots, X_\ell, Y_1, \dots, Y_d\}$. In Fig. 2, we give the algorithm **TransFTD II** for creating a geometric tree-decomposition using the idea of Lemma 9.

Since by Lemma 10, $|V(\partial Z_i \cap \partial Z_j \cap \partial Z_{ij})| \leq 2$, we have that at most two vertices in all three bags are contained in any other bag of \mathcal{Z}^X . Note that geometric tree-decompositions have a lot in common with *sphere-cut decompositions* (introduced in [17]), namely that both decompositions are assigned with vertex sets that form “sphere-cutting” Jordan curves. For our new dynamic programming algorithm, we use much of the structure results obtained in [17].

Algorithm TransfTD II

Input: Planar graph G with parallel tree-decomposition $\mathcal{T} = (T, \mathcal{Z})$, $\mathcal{Z} = (Z_t)_{t \in V(T)}$.

Output: Geometric tree-decomposition \mathcal{T}' of G with $\text{tw}(\mathcal{T}') \leq \text{tw}(\mathcal{T})$.

For each inner bag X with neighbors Y_1, \dots, Y_d {

Disconnection step: Replace X by X_1, \dots, X_ℓ (Lemma 12).

Set $\mathcal{Z}^X = \{X_1, \dots, X_\ell, Y_1, \dots, Y_d\}$.

Reconnection step: Until $|\mathcal{Z}^X| = 1$ {

Find two bags Z_i and Z_j in \mathcal{Z}^X such that Jordan curve $J_i \Delta J_j$

bounds a disk with $Z_i \cup Z_j$ (Lemma 13);

Set $Z_{ij} = (Z_i \Delta Z_j) \cup (Z_i \cap Z_j)$ and connect Z_i and Z_j to Z_{ij} ;

In \mathcal{Z}^X : substitute Z_i and Z_j by Z_{ij} . }

Fig. 2. Algorithm TransfTD II.

6. Jordan curves and dynamic programming

We show how geometric tree-decompositions can be used to obtain faster tree-decomposition based algorithms for several planar graph problems. In particular, we will concentrate on two types of problems, so-called *vertex subset* problems, such as PLANAR DOMINATING SET and *edge subset* problems, such as PLANAR HAMILTONIAN CYCLE. We will use these two problems to illustrate our techniques.

Let us first take a closer look at the properties of a geometric decomposition $\mathcal{T} = (T, \mathcal{Z})$, $\mathcal{Z} = (Z_t)_{t \in T}$. We root T by arbitrarily choosing a node r as a *root*. Each internal node t of T now has one adjacent node on the path from t to r , called the *parent node*, and two adjacent nodes toward the leaves, called the *children nodes*. To simplify matters, we call them the *left child* and the *right child*.

Let T_t be a subtree of T rooted at node t . G_t is the subgraph of G induced by all bags of T_t . By the definition of bags, for three adjacent nodes r, s, t , the vertices of ∂Z_r have to be in at least one of ∂Z_s and ∂Z_t . The reader may simply recall that the parent bag is formed by the union of the vertices of two nicely touching Jordan curves.

For the sake of a refined analysis, we partition the bags of parent node r and left child s and right child t into four sets L, R, F, I as follows:

- *Intersection* $I := \partial Z_r \cap \partial Z_s \cap \partial Z_t$,
- *Forget* $F := (Z_s \cup Z_t) \setminus \partial Z_r$,
- *Symmetric difference* $L := \partial Z_r \cap \partial Z_s \setminus I$ and $R := \partial Z_r \cap \partial Z_t \setminus I$.

6.1. PLANAR DOMINATING SET

The following technique improves the existing algorithm of Alber et al. [1] for weighted PLANAR DOMINATING SET. Their algorithm is based on dynamic programming on *nice tree-decompositions* \mathcal{T} and has the running time $4^{\text{tw}(\mathcal{T})} \cdot n^{O(1)}$. We prove the following theorem by giving an algorithm of similar structure to those in [17,20]. Thus, we give here only a sketch of the idea. Namely, to exploit the planar structure of the nicely touching separators to improve upon the runtime.

Theorem 14. *Given a geometric tree-decomposition $\mathcal{T} = (T, \mathcal{Z})$, $\mathcal{Z} = (Z_t)_{t \in T}$ of a planar graph G . Weighted PLANAR DOMINATING SET on G can be solved in time $3^{\text{tw}(\mathcal{T})} \cdot n^{O(1)}$.*

Proof. Given a rooted geometric tree-decomposition $\mathcal{T} = (T, \mathcal{Z})$, $\mathcal{Z} = (Z_t)_{t \in T}$. For a subset U of $V(G)$ let $w(U)$ denote the total weight of vertices in U . That is, $w(U) = \sum_{u \in U} w_u$. Define a set of subproblems for each subtree T_t . Alber et al. [1] introduced the “monotonicity” property of domination-like problems for their dynamic programming approach that we will use, too. For every node $t \in T$, we use three colors for the vertices of bag Z_t :

black: represented by 1, meaning the vertex is in the dominating set.

white: represented by 0, meaning the vertex has a neighbor in G_t that is in the dominating set.

gray: represented by 2, meaning the vertex has a neighbor in G that is in the dominating set.

We defined the color “gray” according to the monotonicity property: for a vertex u colored gray, we do not have (or store) the information if u is already dominated by a vertex in G_t or if u still has to be dominated in $G \setminus G_t$. Thus, a solution with a vertex v colored white has at least the same the weight as the same solution with v colored gray.

For a bag Z_t of cardinality ℓ , we define a *coloring* $c(Z_t)$ to be a mapping of the vertices Z_t to an ℓ -vector over the color-set $\{0, 1, 2\}$ such that each vertex $u \in Z_t$ is assigned a color, i.e., $c(u) \in \{0, 1, 2\}$. We further define the weight $w(c(Z_t))$ to be the minimum weight of the vertices of G_t in the minimum weight dominating set with respect to the coloring $c(Z_t)$. If no such dominating set exists, we set $w(c(Z_t)) = +\infty$. We store all colorings of Z_t , and for two child nodes, we update each two colorings to one of the parent node.

Before we describe the updating process of the bags, let us make the following comments:

We define F' to be actually those vertices of F that are only in $(\partial Z_s \cup \partial Z_t) \setminus \partial Z_r$. The vertices of $F \setminus F'$ do not exist in Z_r and hence are irrelevant for the continuous update process. We say that a coloring $c(Z_r)$ is *formed* by the colorings $c_1(Z_s)$ and $c_2(Z_t)$ subject to the following rules:

- (R1) For every vertex $u \in L \cup R$: $c(u) = c_1(u)$ and $c(u) = c_2(u)$, respectively.
- (R2) For every vertex $u \in F'$ either $c(u) = c_1(u) = c_2(u) = 1$ or $c(u) = 0 \wedge c_1(u), c_2(u) \in \{0, 2\} \wedge c_1(u) \neq c_2(u)$.
- (R3) For every vertex $u \in I$: $c(u) \in \{1, 2\} \Rightarrow c(u) = c_1(u) = c_2(u)$ and $c(u) = 0 \Rightarrow c_1(u), c_2(u) \in \{0, 2\} \wedge c_1(u) \neq c_2(u)$.

We define U_c to be the vertices $u \in Z_s \cap Z_t$ for which $c(u) = 1$ and update the weights by:

$$w(c(Z_r)) = \min\{w(c_1(Z_s)) + w(c_2(Z_t)) - w(U_c) | c_1, c_2 \text{ forms } c\}.$$

The number of steps by which $w(c(Z_r))$ is computed for every possible coloring of Z_r is given by the number of ways a color c can be formed by the three rules (R1), (R2), (R3), i.e.,

$$3^{|L|+|R|} \cdot 3^{|F'|} \cdot 4^{|I|}$$

steps.

By Lemma 10, $|I| \leq 2$ and since $|L| + |R| + |F| \leq \text{tw}(\mathcal{T})$, we need at most $3^{\text{tw}(\mathcal{T})} \cdot n$ steps to compute all weights $w(c(Z_r))$ that are usually stored in a table assigned to bag Z_r . \square

6.2. PLANAR HAMILTONIAN CYCLE

We now show an additional property of geometric tree-decompositions that comes into play to obtain efficient planar graph algorithms. This *Catalan structure* property was formerly only known for planar branch-decompositions [17] as well as for branch-decompositions for graphs embedded on surfaces of bounded genus [15] and for H -minor-free graphs [16]. This property bounds the number of ways a Hamiltonian cycle can intersect with the corresponding separators by the Catalan numbers.

Theorem 15. *Given a geometric tree-decomposition $\mathcal{T} = (T, \mathcal{Z})$, $\mathcal{Z} = (Z_t)_{t \in T}$ of a planar graph G . PLANAR HAMILTONIAN CYCLE on G can be solved in time $6^{\text{tw}(\mathcal{T})} \cdot n^{O(1)}$.*

Proof. Given a rooted geometric tree-decomposition $\mathcal{T} = (T, \mathcal{Z})$, $\mathcal{Z} = (Z_t)_{t \in T}$. Since for every node $t \in T$, ∂Z_t correspond to a Jordan curve J_t , this allows us to define a total order π starting at an arbitrary vertex in ∂Z_t and continuing clockwise along J_t . Every Hamiltonian cycle in G intersects with G_t as a collection of paths \mathcal{P}_t with endpoints exclusively in ∂Z_t . With the observation that no paths cross in planar embeddings, it was shown in [17] that the number of such collections is bounded by the Catalan numbers. Hence we may use four colors for the vertices of bag Z_t for encoding path collection \mathcal{P}_t :

- 0: meaning the vertex is not in any path of \mathcal{P}_t .
- 2: meaning the vertex is in a path of \mathcal{P}_t but no endpoint.
- 1_t : meaning the vertex is the first endpoint of a path of \mathcal{P}_t in ordering π .
- 1_j : meaning the vertex is the last endpoint of a path of \mathcal{P}_t in ordering π .

For any color c we denote its numerical part $|c|$, e.g. $|1_t| = 1$.

By Lemma 10, we again neglect intersection set I and consider coloring $c(Z_r)$ to be formed by the colorings $c_1(Z_s)$ and $c_2(Z_t)$ subject to the following rules:

- (A1) For every vertex $u \in L \cup R$: $c(u) = c_1(u)$ and $c(u) = c_2(u)$, respectively.
- (A2) For every vertex $u \in F'$ either $|c_1(u)| + |c_2(u)| = 2$.

The number of steps by which all possible colorings of Z_r are computed, is given by the number of ways a color c can be formed by the two rules (A1), (A2), i.e.,

$$4^{|L|+|R|} \cdot 6^{|F'|}$$

steps.

Since above equation is maximized if we set $|L| = |R| = 0$ and $|F'| = \text{tw}(\mathcal{T})$, we need at most $6^{\text{tw}(\mathcal{T})} \cdot n$ steps to compute all path collections \mathcal{P}_t , stored in a table assigned to bag Z_r . \square

7. Conclusion

A natural question to pose, is it possible to solve PLANAR DOMINATING SET in time $2.99^{\text{tw}(\mathcal{T})} \cdot n^{O(1)}$ and equivalently, PLANAR INDEPENDENT SET in $1.99^{\text{tw}(\mathcal{T})} \cdot n^{O(1)}$? Though, we cannot give a positive answer yet, we have a formula that needs “well-balanced” separators in a geometric tree-decomposition \mathcal{T} : we assume that the three sets L, R, F are of equal cardinality for every three adjacent bags. Since $|L| + |R| + |F| \leq \text{tw}$, we thus have that $|L|, |R|, |F| \leq \frac{\text{tw}}{3}$. Applying the fast matrix multiplication method from [13] for example to PLANAR INDEPENDENT SET, this leads to a $2^{\frac{\omega}{3} \text{tw}(\mathcal{T})} \cdot n^{O(1)}$ algorithm, where $\omega < 2.376$. Does every planar graph have a geometric tree-decomposition with well-balanced separators?

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